

PTO/SB/07 (00-00)

Approved for use through 10/31/2002. OMB 0631-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted
to the Patent and Trademark Office, Art Unit 1760. 703-305-3599 -703.872.9310

on September 4, 2003
Date

Ellen M. Godfrey
Signature

ELLEN M. GODFREY
Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

10/023,616
FA0984USNA
Request for a Status Inquiry
Copy of the Notice of Publication of Application
Copy of the Recordation of Assignment
Copy of the Filing Receipt
Postcard

RECEIVED
SEP 17 2003
TC 1700



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/023.616	12/18/2001	1762	1636	FA0984 US NA	15	38	3

CONFIRMATION NO. 3861

CORRECTED FILING RECEIPT



SGD
23906
E I DU PONT DE NEMOURS AND COMPANY
LEGAL PATENT RECORDS CENTER
BARLEY MILL PLAZA 25/1128
4417 LANCASTER PIKE
WILMINGTON, DE 19805

RECEIVED
APR 10 2002

APR 9 2002
PATENT RECORDS
CENTER

Date Mailed: 04/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

George K. Kodokian, Kennett Square, PA;
Keisha M. Wilson, Newark, DE;
David L. Brinton, Wilmington, NC;
Judith E. Hodgson, Wilmington, DE;
James K. Odle, Newark, DE;
Samuel D. Arthur, Wilmington, DE;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/266,810 02/06/2001

Foreign Applications

If Required, Foreign Filing License Granted 01/25/2002

Projected Publication Date: 08/08/2002

Non-Publication Request: No

Early Publication Request: No

Micronization process and polymer particles produced therefrom

Preliminary Class

427

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

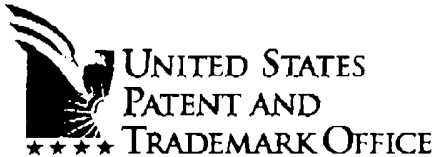
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



FA-0984 vs na

MAY 24, 2002

PTAS

RECEIVED

Chief Information Officer
Washington, DC 20231
www.uspto.govE. I. DU PONT DE NEMOURS AND COMPANY
SUDHIR G. DESHMUKH
LEGAL-PATENTS
BARLEY MILL PLAZA 25
WILMINGTON, DE 19880-0025

JUN 4 2002

RECEIVED



102041441A

MAY 31 2002

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT
~~PATENT RECORDS~~
CENTER

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/14/2002

REEL/FRAME: 012730/0960
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KODOKIAN, GEORGE K.

DOC DATE: 02/06/2002

ASSIGNOR:

WILSON, KEISHA M.

DOC DATE: 02/08/2002

ASSIGNOR:

BRINTON, DAVID L.

DOC DATE: 02/26/2002

ASSIGNOR:

HODGSON, JUDITH E.

DOC DATE: 02/07/2002

ASSIGNOR:

ODLE, JAMES K.

DOC DATE: 02/15/2002

ASSIGNOR:

ARTHUR, SAMUEL D.

DOC DATE: 02/06/2002

012730/0960 PAGE 2

ASSIGNEE:

E. I. DU PONT DE NEMOURS AND
COMPANY
BARLEY MILL PLAZA 25
LEGAL PATENTS
WILMINGTON, DELAWARE 19880-0025

SERIAL NUMBER: 10023616
PATENT NUMBER:

FILING DATE: 12/18/2001
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



Commissioner for Patents
Washington, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/023,616	12/18/2001	George K. Kodokian	FA0984 US NA

23906

E I DU PONT DE NEMOURS AND COMPANY
LEGAL PATENT RECORDS CENTER
BARLEY MILL PLAZA 25/1128
4417 LANCASTER PIKE
WILMINGTON, DE 19805

SGP

RECEIVED

OCT 1 2002

PATENT RECORDS
CENTER

CONFIRMATION NO. 3861

000000008933359

Title: Micronization process and polymer particles produced therefrom

Publication No. US-2002-0146509-A1

Publication Date: 10/10/2002

Date Mailed: 10/10/2002

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publicly available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently <http://www.uspto.gov/patft/>.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently <http://pair.uspto.gov/>. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at (703) 305-3028.

Patent Examination Division (703) 308-1200

04-03-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

R



To the Honorable Commissioner of Patents

102041441

ed original documents or copy thereof

1. Submission Type:

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID# _____
☐ Correction of PTO Error
Reel # _____ Frame # _____
☐ Corrective Document
Reel # _____ Frame # _____

Data Element in Question :

2. Conveyance type:

- ☒ Assignment
☐ License
☒ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

U.S. GOVERNMENT

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Total No. of pages of conveyance document including attachments

4. Name of conveying party(ies):

- ¹Kodokian, George K.
²Wilson, Keisha M.
³Brinton, David L.
⁴Hodgson, Judith E.
⁵Odle, James K.
⁶Arthur, Samuel D.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Execution Date: ¹2/6/2002 ²2/8/2002
³2/26/2002 ⁴2/7/2002
⁵2/15/2002 ⁶2/6/2002

5. Name and address of receiving party(ies):

E. I. DU PONT DE NEMOURS AND COMPANY
LEGAL PATENTS
BARLEY MILL PLAZA 25
WILMINGTON, DELAWARE 19880-0025

Additional name(s) & address(es) attached? ☐ Yes ☒ No

5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 10/023,616
Docket No.: FA-0984 US NA

B. Patent No.(s):

Additional numbers attached: ☐ Yes ☒ No

6. Correspondent Name & Address:

SUDHIR G. DESHMUKH
E. I. DU PONT DE NEMOURS AND COMPANY
LEGAL-PATENTS
BARLEY MILL PLAZA 25
WILMINGTON, DELAWARE 19880-0025

7. Total number of applications and patents involved: 18. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed (Check No.: _____)
☒ Authorized to be charged to deposit account

9. Deposit account number: 04-1928
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

the original document

CAROL REEDER

SENIOR LEGAL CLERK

Signature

Date

/09/2002 LNUELLER 03000171 041928 10023616

Received from <3029922533> at 9:50:11:13 AM [Eastern Daylight Time]

Total number of pages of conveyance document